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Attorney Docket No.: 019930-003600US

Assistant Commissioner for Patents Washington, D.C. 20231

on Sept. 12,2001

TOWNSEND and TOWNSEND and CREW LLP

By Jana & Mu Deill

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Ronald A. Wahler et al.

Application No.: Unassigned

Filed: Herewith

For: DUAL-WAVE OPTICAL SHARED

PROTECTION RING

Examiner:

Unassigned

Art Unit:

Unassigned

INFORMATION DISCLOSURE

STATEMENT UNDER 37 CFR §1.97 and

§1.98

Assistant Commissioner for Patents Washington, D.C. 20231

Sir:

The references cited on attached form PTO-1449 are being called to the attention of the Examiner. Copies of the references are enclosed. It is respectfully requested that the cited references be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

As provided for by 37 CFR 1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

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Applicant believes that <u>no fee is required</u> for submission of this statement, since it is being submitted prior to the first Office Action. However, if a fee is required, the Commissioner is authorized to deduct such fee from the undersigned's Deposit Account No. 20-1430. Please deduct any additional fees from, or credit any overpayment to, the abovenoted Deposit Account.

Respectfully submitted,

Patrick M. Boucher Reg. No. 44,037

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